

**IERC Banking Certificate Summary** (updated Aug. 2020)

<b>Cert. No.</b>	<b>Company</b>	<b>App #</b>	<b>Credit Generation Period (CGP)</b>	<b>Original Amount (Tons NOx)</b>	<b>Current Balance (Tons NOx)</b>	<b>Comments</b>
1-A	Exxon	19971	1997	172.7	0	Reissued to Valero as Cert. #5-A
1-B	Exxon	19971	1998	38.5	0	Reissued to Valero as Cert. #5-B
2-A	Shell	27765	11/7/98 – 4/30/99	62.3	0	Reduced to 9.5 per settlement agreement, used for ACP
3-A	Shell	439	various – 9/26/99	113.2	0	80.24 tons used for ACP1 (7/00-6/01), balance refunded as Cert. 3-B
3-B	Shell	439	various – 9/26/99	32.96	0	Refund of balance of Cert. # 3-A, 32.96 tons used for ACP2 (7/01-6/02)
4-A	Shell	27536	1/6/97 – 1/5/98	<del>8.8</del>	0	Cancelled per settlement agreement
4-B	Shell	27536	1/6/98 – 1/5/99	<del>9.08</del>	0	Cancelled per settlement agreement
5-A	Valero	19971	1997	172.7 ( <del>94.62</del> )	0	Used 51.34 for ACP1 (7/00-6/01) Year 1; used 26.74 tons for ACP2 (7/01-6/02); Surrendered 94.62 as part of 100 ton settlement agreement of 7/1/02
5-B	Valero	19971	1998	38.5 ( <del>5.38</del> )	0	Surrendered 5.38 tons as part of 100 ton settlement agreement of 7/1/02; balance re-issued as Cert. 5-D
5-C	Valero	19971	1999	130.9	0	Used 130.9 for ACP3 (7/02-6/03)
5-D	Valero	19971	1998	33.12	0	Refund balance of Cert. 5-B; Used 33.12 for ACP3 (7/02-6/03)
6-A	PG&E Potrero	22441	1997	145.9	0	Cancelled per Settlement Agreement
6-B	PG&E Potrero	22441	1998	<del>233.7</del>	0	Cancelled per Settlement Agreement
6-C	PG&E Potrero	22441	1999	<del>31.8</del>	0	Cancelled per Settlement Agreement
6-D	Mirant Potrero	7069	4/17/99 – 3/31/00	224.93 (application cancelled)	0	Mirant requested 224.93 Tons IERCs. IERC banking application cancelled. IERCs not approved.
6-E	Mirant Potrero	6473	4/1/00 – 12/31/00	70.91	0	15.02 tons used ACP1 (2/04-1/05); 9.60 tons used ACP2 (2/05-1/06); Balance expired 12/31/05
6-F	Mirant Potrero	6473	2001	94.21	0	0.01 ton used ACP2 (2/05-1/06); Balance expired 12/31/06
6-G	Mirant Potrero	6473	1/1/02 – 9/30/02	34.32	0	Expired 9/30/07
6-H	Mirant Potrero	6473	4/1/00 – 12/31/00	15.89	0	Balance from Cert. 6-E – Expired 12/31/05
7-A	PG&E Hunters Pt.	22504	1997	409.5	0	Cancelled per Settlement Agreement
7-B	PG&E Hunters Pt.	22504	1998	<del>455.3</del>	0	Cancelled per Settlement Agreement

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7-C	PG&E Hunters Pt.	22504	1999	262.7 (162.7)	0	162.7 tons surrendered per Settlement Agreement; Balance of 100.0 tons to be re-issued as Cert. 7-D
7-D	PG&E Hunters Pt.	22504	1999	100.0	0	<100 tons used ACP1 (2004); Expired 12/31/04
7-E	PG&E Hunters Pt.	7375	2000	125.25	0	62.26 tons used ACP2 (2005); Balance expired 12/31/05
7-F	PG&E Hunters Pt.	12482	2001	124.49	0	20.28 tons used for ACP3 (2006); Balance expired 12/31/06
<b>8-A</b>	<b>Shell</b>	1820	5/1/99 – 4/30/00	151.4	0	10.42 tons used for ACP2 (7/01-6/02), balance refunded as Cert. 8-D
8-B	Shell	1820	various – 8/27/00	342.6	0	315.95 tons used for ACP3 (7/02-6/03), balance of 26.65 tons re-issued as Cert. 8-F
8-C	Shell	1820	8/28/00 – 6/30/01	241.3	0	241.3 tons used for ACP4 (7/03-6/04), balance is zero.
8-D	Shell	1820	5/1/99 – 4/30/00	140.98	0	Balance from Cert. 8-A. 140.98 tons used for ACP3 (7/02-6/03), balance is zero.
8-E	Shell	6979	7/1/01 – 6/30/02	443.2	0	5.0 tons used for ACP4 (7/03-6/04). Balance re-issued as Cert. 8-G.
8-F	Shell	1820	Various – 8/27/00	26.65	0	Balance from Cert. 8-B. 26.65 tons used for ACP4 (7/03-6/04).
8-G	Shell	6979	7/1/01 – 6/30/02	438.2	0	Balance from Cert. 8-E. 152.51 Tons used for ACP5 (7/04-6/05). Balance re-issued as Cert. 8-J.
8-H	Shell	10368	7/1/02 – 6/30/03	266.4	0	Used 4.1 tons for ACP6 (7/07 – 6/08). Balance expired 6/30/08
8-I	Shell	10368	7/1/03 – 3/31/04	84.3	0	4.11 tons used for ACP8 (7/07-6/08). Balance expired March 31, 2009
8-J	Shell	6979	7/1/01 – 6/30/02	285.69	0	Balance from Cert. 8-G. 128.18 tons used for ACP6 (7/05-6/06). 114.77 tons used for ACP7 (7/06-6/07). Remainder of 42.74 tons expired 6/30/07.
8-K	Shell	14858	4/1/04 – 6/30/04	24.0	0	9.03 tons used for ACP9 (7/08 – 6/09). Balance expired 6/30/09
8-L	Shell	14858	7/1/04 – 6/30/05	257.9	0	41.34 tons used for ACP 10 (7/09-6/10). Balance expired 6/30/10
8-M	Shell	16772	7/1/05 – 7/1/06	297.1	0	1.86 tons used for ACP 11 (7/10-6/11). Balance expired 6/30/11
8-N	Shell	16772	7/1/06 – 7/1/07	335.1	0	0.04 tons used for ACP 12 (7/11-6/12). Balance expired 6/30/12
8-O	Shell	21415	7/1/07 – 6/30/08	324.1	0	2.28 tons used for ACP 13 (7/12-6/13). Balance expired 6/30/13
8-P	Shell	21415	7/1/08 – 6/30/09	437.4	0	0.11 tons used for ACP – 14 (7/13 – 6/14). Balance expired 6/30/14

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8-Q	Shell	25198	7/1/09 – 6/30/10	394.5	0	Balance expired 6/30/15
8-R	Shell	25198	7/1/10 – 6/30/11	468.3	0	0.1 tons used for ACP-16 (7/15 – 6/16). Balance expired 6/30/16
8-S	Shell	25198	7/1/11 – 6/30/12	506.6	0	Expired 6/30/17 10.67 tons used for ACP-17 (7/16 – 6/17).
8-T	Shell	28247	7/1/12 – 6/30/13	367.5	0	1.6 tons used for ACP-18 (7/17-6/18) Expired 6/30/18
8-U	Shell	28247	7/1/13 – 6/30/14	300.2	0	0.11 tons used for ACP-19 (7/18-6/19) Expired 6/30/19
8-V	Shell	28247	7/1/14 – 6/30/15	359.4	0	12.84 tons used for 1 <sup>st</sup> Qtr 2020 ACP-20 (7/19-6/20) Expired 6/30/20
8-W	Shell	30006	7/1/15 – 6/30/16	71.7	71.7	Expires 6/30/21
8-X	Shell	30006	7/1/16 – 6/30/17	122.8	122.8	Expires 6/30/22
8-Y	Shell	30006	7/1/17 – 6/30/18	87.9	87.9	Expires 6/30/23
<b>9-A</b>	<b>Chevron</b>	19696	11/1/96 – 10/31/97	<del>412.87</del>	0	Cancelled per Chevron request
9-B	Chevron	19696	11/1/97 – 12/31/97	<del>48.81</del>	0	Cancelled per Chevron request
<b>10-A</b>	<b>Valero</b>	4398	2000	554.1	0	Used 299.39 for ACP3 (7/02-6/03). Balance of 254.71 re-issued as Cert. 10-C
10-B	Valero	4398	2001	1284.2	0	240.9 tons deducted. Balance of 1043.3 tons re-issued as Cert. 10-D Expires 12/31/06
10-C	Valero	4398	2000	254.71	0	254.71 tons used for ACP4 (7/03-6/04),.
10-D	Valero	4398	2001	1043.3	0	211.49 tons used for ACP4 (7/03-6/04),. Balance of 831.81 tons re-issued as Cert. 10-E
10-E	Valero	4398	2001	831.81	0	506.51 tons used for ACP5 (7/04-6/05). Balance of 325.3 tons re-issued as Cert. 10-F
10-F	Valero	4398	2001	325.3	0	325.3 tons used for ACP6 (7/05-6/06)
10-G	Valero	11890	2002	950.2	0	Expires 12/31/07; 177.92 tons used for ACP6 (7/05-6/06). Balance of 772.28 tons re-issued as Cert. 10-I
10-H	Valero	11890	2003	483.8	0	Used 204.6 tons for 2 <sup>nd</sup> half of ACP8 (1/08–6/08). Used 168.7 tons for 1 <sup>st</sup> half of ACP9 (7/08–12/08). Balance expired 12/31/08.
10-I	Valero	11890	2002	772.28	0	Expires 12/31/07; 479.2 tons used for ACP7 (7/06–6/07). Balance of 293.08 tons re-issued as Cert. 10-M

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10-J	Valero	15662	2004	589.4	0	192.4 tons used for 2 <sup>nd</sup> half of ACP9 (1/09–6/09). 167.0 tons used for 1 <sup>st</sup> half of ACP10 (7/09–12/09). Balance expired 12/31/09
10-K	Valero	15662	2005	537.2	0	168.5 tons used for 2 <sup>nd</sup> half of ACP10 (1/10–6/10). 163.7 tons used for 1 <sup>st</sup> half of ACP11 (7/10–12/10) Balance expired 12/31/10
10-L	Valero	15662	2006	556.0	0	168.5 tons used for 2 <sup>nd</sup> half of ACP11 (1/11–6/11). 137.9 tons used for 1 <sup>st</sup> half of ACP12 (7/11–12/11) Balance expired 12/31/11
10-M	Valero	11890	2002	293.08	0	Balance from Cert. 10-I. 230 tons used for 1 <sup>st</sup> half of ACP8 (7/07 – 12/07). Balance expired 12/31/07.
10-N	Valero	18880	2007	608.7	0	147.6 tons used for 2 <sup>nd</sup> half of ACP12 (1/12–6/12). 153.6 tons used for 1 <sup>st</sup> half of ACP13 (7/12–12/12) Balance expired 12/31/12
10-O	Valero	19792	2008	617.4	0	124.4 tons used for 2 <sup>nd</sup> half of ACP13 (1/13-6/13). 127.3 tons used for 1 <sup>st</sup> half of ACP14 (7/13-12/13). Balance expired 12/31/13
10-P	Valero	23390	2009	515.8	0	147.8 tons used for 2 <sup>nd</sup> half of ACP14 (1/14-6/14). 149.9 tons used for 1 <sup>st</sup> half of ACP15 (7/14-12/14). Balance expired 12/31/14
10-Q	Valero	23390	2010	548.3	0	165.0 tons used for 2 <sup>nd</sup> half of ACP15 (1/15-6/15). 151.9 tons used for 1 <sup>st</sup> half of ACP16 (7/15-12/15). Balance expired 12/31/15
<b>11-A</b>	<b>Conoco-Phillips</b>	14856	1/1/04 – 12/31/04	2.18	0	2.18 tons used for 2007 IERCs. Expired 12/31/09
11-B	Conoco-Phillips	14856	1/1/05 – 12/31/05	6.29	0	2.37 tons used for 2007 IERCs. 3.92 tons used for 2008 IERCs. Expired 12/31/10
11-C	Conoco-Phillips	14856	1/1/06 – 6/10/06	3.04	0	3.04 tons used for 2008 IERCs. Expired 6/10/11
11-D	Conoco-Phillips	17092	6/11/06 – 6/10-07	7.43	0	0.19 ton used for 2008 IERCs. Balance of 7.24 re-issued as Cert. 11-F Expired 6/10/12
11-E	Conoco-Phillips	17092	6-11-07 – 11/30/07	2.41	0	1.63 tons used for 2009 ACP. 0.77 tons used for 2010 ACP. Expired 11/30/12
11-F	Conoco-Phillips	17092	6/11/06 – 6/10-07	7.24	0	Refund of Cert. 11-D; 7.24 tons used for 2009 ACP. Expired 6/10/12
11-G	Conoco-Phillips	20614	11/27/07 – 11/20/08	5.06	0	2.60 tons used for 2010 ACP. Balance of 2.46 re-issued as Cert. 11-J. Balance expired 11/20/13

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11-H	Phillips66	20614	11/21/08 – 3/31/09	2.05	0	1.71 tons used for 2014 ACP. Balance expired 3/31/14
11-I	Phillips66	21645	4/1/09 – 2/23/10	6.418	0	0.37 tons used for 2nd quarter 2014 ACP. Balance of 6.052 tons re-issued as Cert. 11-N. Expired 2/23/15
11-J	Phillips66	20614	11/27/07 – 11/20/08	2.46	0	1.97 tons used for 2011 ACP. 0.17 ton used for 2012 ACP. 0.13 ton used for 2013 ACP. Balanced expired 11/20/13 (No IERCs needed after Nov. 20 for 2013 ACP)
11-K	Phillips66	26278	2/24/10 – 2/23/11	2.92	0	0.48 tons used for 2015 ACP. Balance of 2.44 re-issued as Cert. 11-O.
11-L	Phillips66	26278	2/24/11 – 2/23/12	3.40		0.745 tons used for 2016 ACP (after 2/23/16) Balance of 2.65 re-issued as Cert. 11-P
11-M	Phillips66	26278	2/24/12 – 2/23/13	2.74	0	0.806 tons used for 2017 ACP (post 2/23/17). Balance of 1.934 tons r-issued as Cert. 11-T. Balance expires 2/23/18
11-N	Phillips66	23167	4/1/9 – 2/23/10	6.052	0	1.248 tons used for 2014 ACP. Balance expired 2/23/15
11-O	Phillips66	23167	2/24/10 – 2/23/11	2.44	0	0.22 tons used for 2016 ACP, prior to expiration on 2/23/16. Balance expired 2/23/16
11-P	Phillips66	26278	2/24/11 – 2/23/12	2.65	0	1793 lbs used for 2017 ACP (before 2/23/17). Balance expired 2/23/17
11-Q	Phillips66	28682	2/24/13 – 2/23/14	2.76	0	0.222 tons used for 2018 ACP. Expired 2/23/19
11-R	Phillips66	28682	2/24/14 – 2/23/15	3.02	0	Expired 2/23/20
11-S	Phillips66	28682	2/24/15 – 2/23/16	2.36	2.36	Expires 2/23/21
11-T	Phillips66	26278	2/24/12 – 2/23/13	1.934	0	Balance from 11-M. 328 lbs used for 2018 ACP. Balance expired 2/23/18

~~Strikeout~~ formatting indicates the quantity of IERCs that was cancelled after issuance of IERC certificate